

## **VIA OVERNIGHT AND EMAIL**

April 26, 2021

New Hampshire Public Utilities Commission Attn: Executive Director 21 South Fruit Street Suite 10 Concord, NH 03301-2429

# RE: Renewal Application of EMEX, LLC as an Electricity Aggregator

### Dear Executive Director:

Please find enclosed an original and two (2) copies of the Renewal Application of EMEX, LLC to be an Electricity Aggregator in the State of New Hampshire. In addition to the hard copies, PDF copies of all documents have been emailed to <a href="mailto:Executive.Director@puc.nh.gov">Executive.Director@puc.nh.gov</a> as instructed.

In addition, as EMEX has submitted an assessment exemption claim form, please find enclosed a check of \$125.00 to accompany its renewal application.

If you have any questions regarding this application, do not hesitate to contact the undersigned at (781) 404-5928 or by email at mkinney@mantisinnovation.com.

Sincerely,

Matthew T. Kinney

Asst. General Counsel/V.P. of Regulatory Affairs

Corporate Office: 11011 Richmond Ave. | Suite 500 | Houston, TX 77042



#### New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-2431 www.puc.nh.gov

#### **Electric Load Aggregation Application Form**

This form may be used to: (1) apply for initial registration as an electric load aggregator in New Hampshire, (2) apply for renewal of registration as an electric load aggregator in New Hampshire, and (3) notify the Commission of any changes to information in a previously-filed electric aggregator application form. This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.02 when applying for initial or renewal registration as an electric aggregator, but you are not required to use this form when doing so

registration as an electric aggregator, but you are not required to use this form when doing so.			
Indicate whether this application is for an initial registration or for a renewal. Initial  Renewal			
	Α	Applicant's General Information	
Puc 2006.02( a)	Legal Name Trade Name (d/b/a) (if applicable)	EMEX, LLC. Energy Market Exchange, EMEX Power	
Puc 2006.02( b)	Business Mailing Address	11011 Richmond Ave. Suite 500  Houston, TX	
	Telephone Number	713-521-9797	
	E-Mail Address	support@emexllc.com	
	Website Address (if applicable)	www.emexllc.com	
Puc 2006.02( c)	applicant, if an individual, or of	business address(es), telephone number(s), and e-mail address(es) of the f the applicant's principal(s),1 if the applicant is anything other than an ets if your response exceeds the space provided on the form.	
	Name	Daniel Marzuola	
	Title	President and CEO	
	Business Mailing Address	11011 Richmond Ave. Suite 500 Houston, TX	
	Telephone Number	713-521-9797	
	E-Mail Address	legal@mantisinnovation.com	
	Name	Kevin McAlpin	
	Title	Vice President & General Counsel	
	Business Mailing Address	11011 Richmond Ave. Suite 500 Houston, TX	
	Telephone Number	713-521-9797	
	Email Address	kmcalpin@mantisinnovation.com	
1			

<sup>1 &</sup>quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



#### New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-2431 www.puc.nh.gov

Customer Service Contact				
Puc 2006.02(	Name	Troy Johnson		
d)	Title	Director of Operations, Indirect Sales		
	Telephone Number	713-521-9797		
	Toll-Free Telephone Number	866-869-1874		
	E-Mail Address	support@emexllc.com		
	Customer Complaints Contact			
Puc 2006.02(	Name	Molly Oscarlece		
e)(1)	Title	Director of Operations, Direct Sales		
	Business Mailing Address	209 Burlington Rd. Suite 219		
		Bedford, MA 01730		
	Telephone Number	781-376-1888 x248		
	E-Mail Address	moscarlece@patriotenergygroup.com		

Regulatory Compliance Matters Contact			
Puc 2006.02(	Name	Matthew Kinney	
e)(2)	Title	Asst. General Counsel/V.P. of Regulatory Affairs	
	Business Mailing Address	209 Burlington Rd. Suite 219	
		Bedford, MA 01730	
	Telephone Number	781-404-5928	
	E-Mail Address	mkinney@mantisinnovation.com	
	Commis	ssion Assessment Payments Contact	
Puc 2006.02(	Name	Kevin McAlpin	
e)(3)	Title	Vice President & General Counsel	
	Business Mailing Address	11011 Richmond Ave. Suite 500	
		Houston, TX	
	Telephone Number	713-521-9797	
	E-Mail Address	kmcalpin@mantisinnovation.com	
	Separate Attach	nments: Business Authority and Trade Name	
Puc 2006.02(f )	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting either of the following:  (1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or  (2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire. See Attachment "A"		
Puc 2006.02( g)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting either of the following:  (1) a recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or <b>See Attachment "B"</b> (2) a copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.		



#### New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-2431 www.puc.nh.gov

Statements Regarding Applicant and its Principals			
	Please respond to each of the following questions with either "Yes" or "No."		
Puc 2006.02( h)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No	
Puc 2006.02( h)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No	
Puc 2006.02( h)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No	
Puc 2006.02( h)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No	
Puc 2006.02( h)(5)	2006.02( electricity supply service or electric aggregation service in any other state or No		
	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occurrence and the related circumstances. Use additional sheets as needed. <b>n/a</b>		
Other States			
Puc 2006.02(i )	Please list other states or jurisdictions in which the applicant currently conducts business relating to the aggregation of electric customers.	SEE ATTACHMENT "C"	

Statement Regarding Supplier Representation		
Puc 2006.02(j	Please respond to the following question with either "Yes" or "No." Is the applicant representing any supplier interest?	No
)	If the response to the preceding question is "Yes," please list the supplier(s) represented. sheets if needed.	Use additional

Expected Marketing Start Date		
Puc 2006.02	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	N/A – RENEWAL
(k)	·	Date

Attestation and Signature			
Puc 2006.02(I ) and (m)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE AGGREGATOR AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE.		
	Signature of the applicant or its authorized representative	Date	
	Name: Matthew T. Kinney		
	Title: Asst. General Counsel/V.P. of Regulatory Affairs		

Filing Instructions		
attachments to: Executive Concord, NH 03301	p paper copies of this form and all separate e Director, NHPUC, 21 South Fruit St., Suite 10, m and all separate attachments to: puc.nh.gov	

# Attachment A

# **Certificate of Good Standing**

# State of New Hampshire Department of State

#### **CERTIFICATE**

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that EMEX, LLC is a Texas Limited Liability Company registered to transact business in New Hampshire on October 28, 2010. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 638055

Certificate Number: 0005351337



IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 16th day of April A.D. 2021.

William M. Gardner Secretary of State **Attachment B** 

**Trade Names** 

4/16/2021 NHQS



SECRETARY OF STATE WILLIAM M. GARDNER

Welcome Patriot Energy Group!





Last Login: 04/16/2021 05:34 PM

Account

Business Search

Verify Certificate

BUSINESS SERVICES

Purchase Business Data

Administration Services

UCC Data Sales

My Profile

Transaction Details

Help

Logout

**Business Details** 

Business Name: EMEX POWER

Business Type: Trade Name Expiration Date: 10/28/2025

Business Status: Active Last Renewal Date: 5/28/2020

Business ID: 638056

Business Creation Date: 10/28/2010

Principal Business Office Address: 11011 Richmond Ave., Suite 500, Houston, TX, 77001, USA

Mailing Address: NONE

Principal Purpose

S.No NAICS Code **NAICS Subcode** 

Electric Power Distribution

 $\mathsf{Util}\mathsf{ities}$ Page 1 of 1, records 1 to 1 of 1

Trade Name Information

**Business Name** 

**Business Status** 

Trade Name Owned By

Name

Title

Address

EMEX, LLC (638055)

Business

**Business ID** 

Good Standing

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Filing History

Address History

View All Other Addresses

Return to Search

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4/16/2021 NHQS



SECRETARY OF STATE WILLIAM M. GARDNER

Welcome Patriot Energy Group!







Last Login: 04/16/2021 05:35 PM

Account

Business Search

Verify Certificate

BUSINESS SERVICES

Purchase Business Data

Administration Services

UCC Data Sales

My Profile

Transaction Details

Help

Logout

**Business Details** 

Business Name: ENERGY MARKET EXCHANGE

Business Type: Trade Name Expiration Date: 3/20/2022

Business Creation Date: 03/20/2012

Date of Formation in Jurisdiction: 03/20/2012

Principal Office Address: 11011 Richmond Ave, Suite 500, Houston, TX, 77042, USA

Business Email: NONE Notification Email: NONE

Business ID: 667883 Business Status: Active Last Renewal Date: 4/20/2017 Name in State of Formation: Not Available

Mailing Address: 11011 Richmond Ave, Suite 500, Houston, TX, 77042, USA

Phone #: NONE Fiscal Year End Date: NONE

**Principal Purpose** 

S.No **NAICS Code**  **NAICS Subcode** 

OTHER / Energy brokerage services and any other lawful business

Page 1 of 1, records 1 to 1 of 1

**Trade Name Information** 

**Business Name Business ID Business Status** 

**Trade Name Owned By** 

Title Name Address

EMEX, LLC Business Good Standing

**Trademark Information** 

Trademark Number Trademark Name **Business Address Mailing Address** 

No records to view.

Filing History Address History View All Other Addresses

Businesses Linked to Registered Agent

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## <u>Attachment C – Other Jurisdictions of Operations</u>

EMEX, LLC. maintains the following licenses and registrations to aggregate or broker competitive electricity service or natural gas service:

State/Agency	License Identifier	Type of License/Registration
Delaware Public Service Commission	Order No. 8147	Electricity Broker
District of Columbia Public Service Commission	Order No. 16355	Electricity Broker
District of Columbia Public Service Commission	Order No. 17189	Natural Gas Broker
Illinois Commerce Commission	Dkt. 10-0455	Electricity Agent/Broker/Consultant
Maine Public Utilities Commission	Dkt. 2012-163	Electricity Broker
Maryland Public Service Commission	IR-2065	Electricity Broker
Maryland Public Service Commission	IR-3115	Natural Gas Broker
Massachusetts Department of Public Utilities	EB-176	Electricity Broker
Massachusetts Department of Public Utilities	RA-101	Natural Gas Retail Agent
New Hampshire Public Utilities Commission	DM 19-077	Electricity Aggregator
New Jersey Board of Public Utilities	EA-0083	Energy Agent
New Jersey Board of Public Utilities	PA-0125	Private Aggregator
New Jersey Board of Public Utilities	EC-0024	Energy Consultant
Public Utilities Commission of Ohio	11-414E	Electricity Broker/Aggregator
Public Utilities Commission of Ohio	13-309G	Natural Gas Broker/Aggregator
Pennsylvania Public Utility Commission	A-2019-3014305	Electricity Broker
Pennsylvania Public Utility Commission	A-2013-2365773	Natural Gas Broker
Public Utility Commission of Texas	80368	Electricity Aggregator
Public Utility Commission of Texas	BR190088	Electricity Broker

EMEX, LLC also provides service in the following jurisdictions, where licensing is not required: California, Florida, Georgia, Indiana, Michigan, New York, and Rhode Island.